Page 1 of 3

UTILITY PATENT APPLICATION TRANSMITTAL

(Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.

25630/16UTL



CERTIFICATION UNDER 37 C.F.R. § 1.10

EL 389 348 305 US

Express Mail Number

January 4, 2001 Date of Deposit

I hereby certify that this Application and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," addressed to:

Commissioner for Patents

BOX PATENT APPLICATION Washington, D.C. 20231-9999

> January 4, 2001 Date of Signature

TO THE COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. § 111(a) and 37 C.F.R. § 1.53(b) is a new utility patent application for an invention entitled:

MUTATIONS IN A NOVEL PHOTORECEPTOR-PINEAL GENE ON 17p CAUSE LEBER CONGENITAL AMAUROSIS (LCA4).

and invented by:

Melanie M. Sohocki and Stephen P. Daiger

Customer Number 23873

PATENT TRADEMARK OFFICE

If this application is a CONTINUATION APPLICATION, check the appropriate box and supply the requisite information:

□ Continuation □ Divisional

□ Continuation-in-part of prior application no.:

Filing Date:

If this application **CLAIMS PROVISIONAL PRIORITY**, check the box and supply the requisite information:

☑ Provisional Patent Application Serial No.: Filing Date :1/4/01

Enclosed are:

Application Elements

- 1. ☒ Filing fee as calculated and transmitted as described below
- 2. ⊠ Specification having <u>78</u> pages, including the following:
 - a. Descriptive Title of the Invention

		Page 2 of 3				
	b.	☐ Cross References to Related Applications (if applicable)				
	c.					
	d.	☐ Reference to Microfiche Appendix (if applicable)				
	e.	Background of the Invention				
	f.	Summary of the Invention				
	g.	Brief Description of the Drawings				
	h.	□ Detailed Description of the Invention				
	i.					
	j.	△ Abstract of the Disclosure				
3.	☒	Drawing(s) (when necessary as prescribed by 35 USC 113				
	a.	▼ Formal b. □ Informal Number of Sheets10				
4.		Oath or Declaration				
	a.	□ Newly executed (original or copy) □ Unexecuted				
1	b.	□ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)				
	c.	☐ With Power of Attorney ☐ Without Power of Attorney				
"	d.	□ <u>DELETION OF INVENTORS</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).				
5.4.4.7		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.				
6 .		Computer Program on Microfiche				
7.	☒	Genetic Sequence Submission (if applicable, all must be included)				
	a.					
	b.	☐ Computer Readable Copy				
	c.	☐ Statement Verifying Identical Paper and Computer Readable Copy				
		Accompanying Application Parts				
8.		Assignment Papers (cover sheet & documents)				
9.		37 CFR 3.73(b) Statement (when there in an assignee)				
10.		English Translation Document (if applicable)				
11.		Information Disclosure Statement/PTO-1449				
12.	므	Preliminary Amendment				
13.	⊠	Acknowledgment postcard				





- 14. Decrified Copy of Priority Document(s) (if foreign priority is claimed).
- 15. ☐ Claim of Small Entity Status. Applicants hereby assert that they are independent inventors employed by the University of Texas, an institution of higher learning to which they owe a duty of assignment and, therefore, this Application is permitted Small Entity Status.

믕	Additio	onal Enclosures (please identify below):				
960						
u.s						

FEE CALCULATION AND TRANSMITTAL

CLAIMS AS FILED							
	# Filed	# Allowed	# Extra	Rate	Fee		
Total Claims	27	27- 20	7	\$9.00	\$63.00		
Indep. Claims	14	14- 3	11	\$40.00	\$440.00		
Multiple Depend		\$0.00					
ASSIGNMENT FEE					\$0.00		
BASIC FILING FEE					\$355.00		
	TOTAL FILING FEE			\$858.00			

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4-1	
_	A check in the amount of to cover the filing fees is enclosed.
	The Commissioner for Patents is hereby authorized to charge and credit Deposit Account No. 501518 as
	described below. A duplicate copy of this sheet is enclosed.
	☐ Charge the amount of as filing fees. ☐ Credit any overpayment.
	☐ Charge any additional filing fees required under 37 C.F.R. §§ 1.16 and 1.17.
	☐ Charge the issue fee set in 37 C.F.R. § 1.18 at the mailing of the Notice of Allowance pursuant to 37 C.F.R.
	§ 1.311(b).
Da	te: January 17, 2001 Robert W. Strözier
	Robert W. Subziel



AJO/3
ATENT TRADEMARK OFFI



2925 BRIARPARK, SUITE 930 HOUSTON, TX 77042 TELEPHONE: 713/977-7000 TELECOPIER: 713/977-7011



January 17, 2001



Honorable Commissioner for Patents BOX Patent Application Washington, D.C. 20231-9999

Attorney Docket No: 25630/16UTL

Dear Sir/Madam:

This letter is a request to file a Utility Patent Application including: (1) a 121 page disclosure; (2) 10 sheets of drawings; (3) Transmittal Small Entity; and (4) a Return Receipt Postcard.

Please return the enclosed postcard to acknowledge receipt and filing of this application. Please address all correspondence in connection with this application to:

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713.977.7000; 713.977.7011 (FACSIMILE)
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RWS/sls

- Enclosures:

U.S. Utility Patent Application Transmittal – Small Entity Return Self-Addressed Stamped Postcard